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4-3-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Ryuhei TSUJI

Serial No. 10/069,584

Filed February 27, 2002



Confirmation No. 3824

Docket No. 2002_0289A

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

A LIGHTING APPARATUS, A COMMUNICATION
METHOD, A DISPLAY UNIT, A COMMUNICATION
CIRCUIT AND A TERMINAL ADAPTOR

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Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents,
Washington, DC 20231

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicant requests consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☒ a full or partial English language translation submitted herewith,

b. ☐ a foreign patent office search report (in the English language) submitted herewith,

- c. ☐ the concise explanation contained in the specification of the present application at page,
- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☒ concise explanation for reference AJ is set below:

U.S. Patent No. 5,767,818 corresponds to WO96/10244.

5. Enclosed are English translations of references AJ (11-126047), AK (97/39436), AM (8-328514) and AN (8-202316) which were previously cited in an IDS on February 27, 2002.

Respectfully submitted,

Ryuhei TSUJI

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July 8, 2002

FORM PTO 1449 (modified)

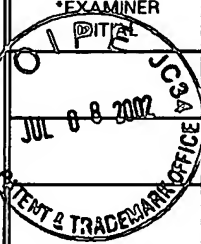
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 8, 2002

ATTY DOCKET NO.
2002_0289ASERIAL NO.
10/069,584APPLICANT
Ryuhei TSUJIFILING DATE
February 27, 2002

GROUP

U.S. PATENT DOCUMENTS

| *EXAMINER DIGITAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|--|----|--------------------|--------|---------|-------|----------|-------------------------------|
|  | AA | 5,767,818 | 6/1998 | Nishida | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |

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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
|--|----|--------------------|---------|---------|-------|----------|-----------------------|---|
| | AH | 96/10244 | 4/1996 | WO | | | | X |
| | AI | 9-247592 | 9/1997 | JP | | | X | |
| | AJ | 2-264995 | 10/1990 | JP | | | X | |
| | AK | 10-333631 | 12/1998 | JP | | | X | |
| | AL | 8-23536 | 1/1996 | JP | | | X | |
| | AM | 9-74551 | 3/1997 | JP | | | X | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AN | |
| | AO | |
| | AP | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.